



RECEIVED

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

TC 3700 MAIL ROOM

RECEIVED

DEC 17 2001

Technology Center 2600

In re Application of:

Robert K. Rowe et al.

Serial No.: 09/415,594

Examiner: Unknown

Filed: October 8, 1999

Group Art Unit: 3736

For: APPARATUS AND METHOD FOR IDENTIFICATION OF INDIVIDUALS  
BY NEAR-INFRARED SPECTRUM

Docket No.: 1023.1110101

Box Petition to Make Special  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**PETITION TO MAKE APPLICATION SPECIAL**  
**IN ACCORDANCE WITH 37 CFR §1.102(d)**

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EL029101473US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 3rd day of December, 2001.

By Kathleen L. Boekley  
Kathleen L. Boekley

Dear Sir:

Applicant respectfully requests that the referenced application be accorded special status as an application for an invention which qualifies under MPEP Section 708.02 XI as an invention for countering terrorism. Specifically, the methods and apparatuses disclosed in the above-identified application form the basis for significantly enhanced means to perform biometric authentication of people. As such, this technology is applicable to a significant number of applications that secure the infrastructure of the United States against terroristic activities. For example, the sensors described in the application can be used to secure sensitive areas of airport

facilities, and airplanes themselves, to ensure that passengers who check-in are the same as the ones who board a plane, and to expedite pre-screened frequent travelers past airport security checkpoints to reduce congestion and facilitate closer scrutiny of non-screened travelers. The sensors disclosed are also applicable to commercial trucking as a means to prevent unauthorized people from gaining control over a commercial truck carrying hazardous or flammable material and potentially using the vehicle in a suicide bomb attempt. The disclosed technology also has application to enhance identification/card security by providing another means to ensure that the holder of a security card is truly the authorized owner.

The petition fee of \$130 pursuant to 37 CFR §1.17(h) is included herein.

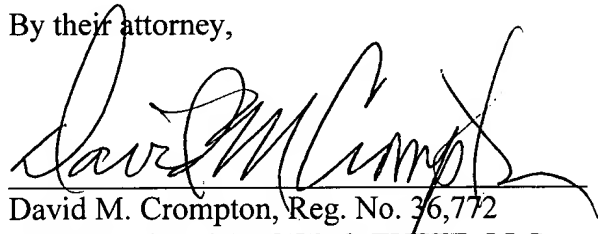
In view of the foregoing, Applicant respectfully requests that his Petition be granted in due course.

Respectfully submitted,

Robert K. Rowe et al.

By their attorney,

Date: 12/3/01



David M. Crompton, Reg. No. 36,772  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, Minnesota 55401-2246  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349



12-10-01

3736 #6

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****RECEIVED**

Applicant: Robert K. Rowe et al.

DEC 28 2001

Serial No.: 09/415,594

Examiner: Unknown

DIRECTOR OFFICE  
TECHNOLOGY CENTER 2600

Filed: October 8, 1999

Group Art Unit: 3736

For: APPARATUS AND METHOD FOR IDENTIFICATION OF INDIVIDUALS  
BY NEAR-INFRARED SPECTRUM

Docket No.: 1023.1110101

RECEIVED  
DEC 13 2001  
C 3100 MAIL ROOM**TRANSMITTAL SHEET****RECEIVED**Box Petition to Make Special  
Assistant Commissioner for Patents  
Washington, D.C. 20231

DEC 17 2001

Technology Center 2600

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EL029101473US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 3rd day of December, 2001.

By Kathleen L. Boekley  
Kathleen L. Boekley

We are transmitting herewith the attached:

☐ Amendment☐ No additional fee required☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPENDENT CLAIMS	-	=		X40=	\$	X80=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+270=	\$
TOTAL				\$		\$	

- [ XX ] A check in the amount of \$130.00 is enclosed.
- [ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
- [ XX ] Other: PETITION TO MAKE APPLICATION SPECIAL IN ACCORDANCE WITH 37 CFR §1.102(d).
- [XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: \_\_\_\_\_

David M. Crompton

Reg. No. 36,772

David M. Crompton  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, Minnesota 55401-2246  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349